

SUPPLEMENTAL DECLARATION

Atty Dkt. YOR920030623US1 (8728-671)

AS A BELOW NAMED INVENTOR, I hereby declare that:

My residence, post office address and citizenship are as stated next to my name.

I believe that I am the original, first and sole (if only one name is listed below), or an original, first and joint inventor (if plural names are listed below), of the subject matter which is claimed and for which a patent is sought on the invention

NG QUANTUM DOTS AT PREDETI	ERMINED POSITIONS OF A SUBSTR	ATE
attached hereto or indicates an attorney	docket no. YOR920030623US1 (8728-6	71) , or:
rademark Office on February 13, 2004	and assigned Serial No. 10/779,457,	
d on		
nent referred to above. I acknowledge to not this application in accordance with its under Title 35, U.S. Code §119(a)-(c) of any PCT international application we also identified below any foreign application application was applicated below.	the duty to disclose information which is Title 37 of the Code of Federal Regulation of §365(b) of any foreign application(swhich designated at least one country other	material to ons §1.56. I) for patent r than the
		,
(Country)	(Day/Month/Year filed)	
(Country)	(Day/Month/Year filed)	
on(s), or §365(c) of any PCT Internation natter of each of the claims of this apply the manner provided by the first paragrial to patentability as defined in Title 3	onal application designating the United Slication is not disclosed in the prior Uniteraph of Title 35, U.S. Code, §112, I acknow, The Code of Federal Regulations, §1. he national or PCT international filing	States, listed states of owledge the 56(a) which date of this
	attached hereto or indicates an attorney rademark Office on February 13, 2004 d on	(Country) (Day/Month/Year filed) (Country) (Day/Month/Year filed) t under Title 35, U.S. Code, §120 of any United States application(s), or §11 on(s), or §365(c) of any PCT International application designating the United States of the claims of this application is not disclosed in the prior United the manner provided by the first paragraph of Title 35, U.S. Code, §112, I acknowled in the prior application and the national or PCT international filing date of the prior application and the national or PCT international filing

I hereby appoint the practitioners associated with the Customer Number 46069 to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected therewith and with any divisional, continuation, continuation-in-part, reissue or re-examination application, with full power of appointment and with full power to substitute an associate attorney or agent, and to receive all patents which may issue thereon, and request that all correspondence be addressed to:

> Frank Chau, Esq. F. CHAU & ASSOCIATES, LLC 130 Woodbury Road Woodbury, New York 11797

Tel.: 516-692-8888

Page 1 of 2

I HEREBY DECLARE that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 U.S. Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

FULL NAME OF FIRST OR SOLE INVENTO	DR: MARTIN KAMMLER	Citizenship <u>GERMANY</u>
Inventor's signature: Mon Tric	11	Date: 07-01-2005
Residence & Post Office Address:	Goerlitzer Str. 47 01099 Dresden Germany	
FULL NAME OF SECOND INVENTOR: <u>FR</u>		. Citizenship <u>UNITED KINGDOM</u>
Inventor's signature:		
Residence & Post Office Address:	27 Lindstrom Road, Apartment 3C, Stamford Connecticut	
FULL NAME OF THIRD INVENTOR: MAE	RK REUTER	Citizenship <u>USA</u>
Inventor's signature:		Date:
Residence & Post Office Address:	1 O'Connor Court Montrose New York	
		-
FULL NAME OF FOURTH INVENTOR: <u>RC</u>	DBERT HULL	Citizenship <u>UNITED KINGDOM</u>
Inventor's signature:		Date:
Residence & Post Office Address:	2732 Graigs Store Road Afton, Virginia 22920	
FULL NAME OF FIFTH INVENTOR: <u>YUR</u>	II VLASOV	Citizenship <u>RUSSIA</u>
Inventor's signature:		Date:
Residence & Post Office Address:	89 Edgemont Road Katonah New York 10536	

Page 2 of 3

FULL NAME OF SIXTH INVENTOR: SHAREE McNAB Inventor's signature:		-
FULL NAME OF SEVENTH INVENTOR:	JOHN C. BEAN	Citizenship <u>USA</u>
		• ——-
Residence & Post Office Address:	480 Lego Drive Charolottesville, Virginia 22911	
FULL NAME OF EIGHTH INVENTOR: _	THOMAS VANDERWELDE	Citizenship <u>USA</u>
Inventor's signature:		Date:
Residence & Post Office Address:	207 Sunset Avenue Charolottesville, Virginia 22903	

SUPPLEMENTAL DECLARATION

PTO/SB/0 (6/95)
SEP 9.2 2005

Atty Dkt. YOR920030623US1 (8728-671)

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I believe that I am the original, first and sole (if only one name is listed below), or an original, first and joint inventor (if plural names are listed below), of the subject matter which is claimed and for which a patent is sought on the invention entitled:

entitled: TITLE: METHOD OF FORMING QUANTUM DOTS AT PREDETERMINED POSITIONS OF A SUBSTRATE the specification of which either is attached hereto or indicates an attorney docket no. YOR920030623US1 (8728-671), or: O was filed in the U.S. Patent & Trademark Office on February 13, 2004 and assigned Serial No. 10/779,457, and (if applicable) was amended on _____ I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to patentability and to the examination of this application in accordance with Title 37 of the Code of Federal Regulations §1.56. I hereby claim foreign priority benefits under Title 35, U.S. Code §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT international application which designated at least one country other than the United States, listed below and have also identified below any foreign applications for patent or inventor's certificate having a filing date before that of the application on which priority is claimed: **Priority Claimed:** (Application Number) (Day/Month/Year filed) (Country) (Application Number) (Day/Month/Year filed) (Country) I hereby claim the benefit under Title 35, U.S. Code, §120 of any United States application(s), or §119(e) of any

United States provisional application(s), or §365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application(s) in the manner provided by the first paragraph of Title 35, U.S. Code, §112, I acknowledge the duty to disclose information material to patentability as defined in Title 37, The Code of Federal Regulations, §1.56(a) which became available between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial Number) (Filing Date) (STATUS: patented, pending, abandoned)

I hereby appoint the practitioners associated with the <u>Customer Number 46069</u> to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected therewith and with any divisional, continuation, continuation-in-part, reissue or re-examination application, with full power of appointment and with full power to substitute an associate attorney or agent, and to receive all patents which may issue thereon, and request that all correspondence be addressed to:

FULL NAME OF FIRST OR SOLE INVENTO	OR: MARTIN KAMMLER	Citizenship <u>GERMANY</u>
Inventor's signature:		Date:
Residence & Post Office Address:	Goerlitzer Str. 47 01099 Dresden Germany	
FULL NAME OF SECOND INVENTOR: FR Inventor's signature: FR		_ Citizenship <u>UNITED KINGDOM</u> _ Date: <u>30 June 2005</u>
Residence & Post Office Address:	27 Lindstrom Road, Apartment 3C, Stamford Connecticut	
FULL NAME OF THIRD INVENTOR: MAINMENTOR: M	1 O'Connor Court Montrose New York	Citizenship <u>USA</u> Date: <u>6/30/05</u>
FULL NAME OF FOURTH INVENTOR: RO	OBERT HULL	_Citizenship <u>UNITED KINGDOM</u> _Date:
Residence & Post Office Address:	2732 Graigs Store Road Afton, Virginia 22920	
FULL NAME OF FIFTH INVENTOR YUR Inventor's signature:	II VLASOV	Citizenship <u>RUSSIA</u> Date:6/30/05
Residence & Post Office Address:	89 Edgemont Road	

Katonah New York 10536

FULL NAME OF SIXTH INVENTOR: SHAREE McNAB Inventor's signature:		
FULL NAME OF SEVENTH INVENTO	R: <u>JOHN C. BEAN</u>	Citizenship <u>USA</u>
Inventor's signature:		Date:
Residence & Post Office Address:	480 Lego Drive Charolottesville, Virginia 22911	
FULL NAME OF EIGHTH INVENTOR:	THOMAS VANDERWELDE	Citizenship <u>USA</u>
Inventor's signature:		Date:
Residence & Post Office Address:	207 Sunset Avenue Charolottesville, Virginia 22903	

SUPPLEMENTAL DECLARATION

Atty Dkt. YOR920030623US1 (8728-671)

NED INVENTOR, I hereby declare that:

My residence post office address and citizenship are as stated next to my name.

	• • •	ed below), or an original, first and joint inventor for which a patent is sought on the invention
TITLE: METHOD OF FORMING QUA	ANTUM DOTS AT PREDETER	MINED POSITIONS OF A SUBSTRATE
the specification of which either is attached	hereto or indicates an attorney do	ocket no. YOR920030623US1 (8728-671), or:
O was filed in the U.S. Patent & Trademark	o Office on February 13, 2004 an	d assigned Serial No. 10/779,457,
and (if applicable) was amended on		,
claims, as amended by any amendment reference patentability and to the examination of this hereby claim foreign priority benefits under or inventor's certificate, or §365(a) of any I	erred to above. I acknowledge the application in accordance with Tite Title 35, U.S. Code §119(a)-(d) of PCT international application which entified below any foreign application	above-identified specification, including the duty to disclose information which is material to the 37 of the Code of Federal Regulations §1.56. It or §365(b) of any foreign application(s) for patent ch designated at least one country other than the ations for patent or inventor's certificate having a
Priority Claimed:		
(Application Number)	(Country)	(Day/Month/Year filed)
(Application Number)	(Country)	(Day/Month/Year filed)
United States provisional application(s), or below and, insofar as the subject matter of PCT International application(s) in the man duty to disclose information material to pa	s §365(c) of any PCT International each of the claims of this applications provided by the first paragraph tentability as defined in Title 37,	y United States application(s), or §119(e) of any all application designating the United States, listed ation is not disclosed in the prior United States or oh of Title 35, U.S. Code, §112, I acknowledge the The Code of Federal Regulations, §1.56(a) which national or PCT international filing date of this
(Application Serial Number)	(Filing Date)	(STATUS: patented, pending, abandoned)
and to transact all business in the U.S. Pate	nt and Trademark Office connected	omer Number 46069 to prosecute this application and therewith and with any divisional, continuation of appointment and with full power to substitute ar

associate attorney or agent, and to receive all patents which may issue thereon, and request that all correspondence be addressed to:

> Frank Chau, Esq. F. CHAU & ASSOCIATES, LLC 130 Woodbury Road ______Woodbury, New York 11797

Tel.: 516-692-8888

FULL NAME OF FIRST OR SOLE INVE	ENTOR: MARTIN KAMMLER	Citizenship <u>GERMANY</u>
Inventor's signature:		Date:
Residence & Post Office Address:	Goerlitzer Str. 47 01099 Dresden Germany	
	: FRANCES M. ROSS	-
Inventor's signature:		_ Date:
Residence & Post Office Address:	27 Lindstrom Road, Apartment 3C, Stamford Connecticut	
	MARK REUTER 1 O'Connor Court	•
to a control of the c	Montrose New York	
FULL NAME OF FOURTH INVENTOR	ROBERT HULL	Citizenship <u>UNITED KINGDOM</u>
Inventor's signature:	L. Hull	Date: <u>072505</u>
Residence & Post Office Address:	Crokeys 2732 Graigs Store Road Afton, Virginia 22920	
FULL NAME OF FIFTH INVENTOR: _	YURII VLASOV	Citizenship <u>RUSSIA</u>
Inventor's signature:		Date:
Residence & Post Office Address:	89 Edgemont Road Katonah New York 10536	

FULL NAME OF SIXTH INVENTOR: SHAREE McNAB		Citizenship <u>NEW ZEALAN</u>
Inventor's signature:		Date:
Residence & Post Office Address:	12 Huntsbury Avenue Huntsbury Christchurch, New Zealand	
FULL NAME OF SEVENTH INVENTOR:	JOHN C. BEAN	Citizenship <u>USA</u>
Inventor's signature:		Date:
Residence & Post Office Address:	480 Lego Drive Charolottesville, Virginia 22911	
FULL NAME OF EIGHTH INVENTOR: _	THOMAS VANDERWELDE	Citizenship <u>USA</u>
Inventor's signature:		Date:
Residence & Post Office Address:	207 Sunset Avenue Charolottesville, Virginia 22903	

PTO/SB/01 (6/95)

Priority Claimed:



SUPPLEMENTAL DECLARATION

Atty Dkt. YOR920030623US1 (8728-671)

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I believe that I am the original, first and sole (if only one name is listed below), or an original, first and joint inventor (if plural names are listed below), of the subject matter which is claimed and for which a patent is sought on the invention entitled:

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to patentability and to the examination of this application in accordance with Title 37 of the Code of Federal Regulations §1.56. I hereby claim foreign priority benefits under Title 35, U.S. Code §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT international application which designated at least one country other than the United States, listed below and have also identified below any foreign applications for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

(Application Number)	(Country)	(Day/Month/Year filed)
(Application Number)	(Country)	(Day/Month/Year filed)
United States provisional application below and, insofar as the subject PCT International application(s) is duty to disclose information mate became available between the fi	tion(s), or §365(c) of any PCT Intermatter of each of the claims of this in the manner provided by the first perial to patentability as defined in T	of any United States application(s), or §119(e) of an ernational application designating the United States, listers application is not disclosed in the prior United States of paragraph of Title 35, U.S. Code, §112, I acknowledge the Title 37, The Code of Federal Regulations, §1.56(a) which and the national or PCT international filing date of this
application:		

I hereby appoint the practitioners associated with the <u>Customer Number 46069</u> to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected therewith and with any divisional, continuation, continuation-in-part, reissue or re-examination application, with full power of appointment and with full power to substitute an associate attorney or agent, and to receive all patents which may issue thereon, and request that all correspondence be addressed to:

FULL NAME OF FIRST OR SOLE INVE	NIUR: MARTIN KAMMLER	Citizenship <u>GERMANY</u>
Inventor's signature:		Date:
Residence & Post Office Address:	Goerlitzer Str. 47 01099 Dresden Germany	
FULL NAME OF SECOND INVENTOR:		-
Inventor's signature:		Date:
Residence & Post Office Address:	27 Lindstrom Road, Apartment 3C, Stamford Connecticut	
FULL NAME OF THIRD INVENTOR: Inventor's signature: Residence & Post Office Address:	•	- ———
FULL NAME OF FOURTH INVENTOR:	ROBERT HULL	Citizenship <u>UNITED KINGDOM</u>
Inventor's signature:		Date:
Residence & Post Office-Address:	2732 Graigs Store Road Afton, Virginia 22920	
FULL NAME OF FIFTH INVENTOR: Y		•
Inventor's signature:		Date:
Residence & Post Office Address:	89 Edgemont Road	

Katonah New York 10536

FULL NAME OF SIXTH INVENTOR: <u>SI</u>	HAREE McNAB	Citizenship <u>NEW ZEALAND</u>
Inventor's signature:	al	_ Date: $\frac{6/29/2005}{}$
U	12 Huntsbury Avenue Huntsbury Christchurch, New Zealand	, ,
FULL NAME OF SEVENTH INVENTOR:	JOHN C. BEAN	Citizenship <u>USA</u>
Inventor's signature:		Date:
Residence & Post Office Address:	480 Lego Drive Charolottesville, Virginia 22911	
FULL NAME OF EIGHTH INVENTOR: _	THOMAS VANDERWELDE	Citizenship <u>USA</u>
Inventor's signature:		Date:
Residence & Post Office Address:	207 Sunset Avenue Charolottesville, Virginia 22903	

P/01 (6/95)

SUPPLEMENTAL DEULARATION

Atty Dkt. YOR920030623US1 (8728-671)

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C:	METHOD OF FORMING QUANTUM DOTS AT PREDETERMINED POSITIONS OF A SUBSTRATE
iecit	fication of which either is attached hereto or indicates an attorney docket no. YOR920030623US1 (8728-671), or
s fil	led in the U.S. Patent & Trademark Office on February 13, 2004 and assigned Serial No. 10/779,457,
ıd <i>(i</i>	f applicable) was amended on,

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the is, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to tability and to the examination of this application in accordance with Title 37 of the Code of Federal Regulations §1.56. It is claim foreign priority benefits under Title 35, U.S. Code §119(a)-(d) or §365(b) of any foreign application(s) for patent ventor's certificate, or §365(a) of any PCT international application which designated at least one country other than the ind States, listed below and have also identified below any foreign applications for patent or inventor's certificate having a date before that of the application on which priority is claimed:

rny Ciaimed:			
lication Number)	(Country)	(Day/Month/Year filed)	,
lication Number)	(Country)	(Day/Month/Year filed)	

I hereby claim the benefit under Title 35, U.S. Code, §120 of any United States application(s), or §119(e) of any ed States provisional application(s), or §365(c) of any PCT International application designating the United States, listed w and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or International application(s) in the manner provided by the first paragraph of Title 35, U.S. Code, §112, I acknowledge the to disclose information material to patentability as defined in Title 37, The Code of Federal Regulations, §1.56(a) which me available between the filing date of the prior application and the national or PCT international filing date of this ication:

olication Serial Number) (Filing Date) (STATUS: patented, pending, abandoned)

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NAME OF FIRST OR SOLE INVE	NTOR: MARTIN KAMMLER	Citizenship <u>GERMANY</u>
or's signature:		Date:
ence & Post Office Address:	Goerlitzer Str. 47 01099 Dresdon Germany	
NAME OF SECOND INVENTOR	FRANCES M. ROSS	Citizenship UNITED KINGDOM
	TAGE VOLUME	
ence & Post Office Address:	27 Lindstrom Road, Apartment 3C, Stamford Connecticut	
L NAME OF THIRD INVENTOR:	MARK REUTER	Citizenship <u>USA</u>
		Date:
lence & Post Office Address:	1 O'Connor Court Montrose New York	
L NAME OF FOURTH INVENTOR: ROBERT HULL		Citizenship <u>UNITED KINGDOM</u>
ntor's signature:		Date:
dence & Post Office Address:	2732 Graigs Store Road Afton, Virginia 22920	
L NAME OF FIFTH INVENTOR:	YURII YLASOV	Citizenship _RUSSIA
:ntor's signature:		Date:
idence & Post Office Address:	89 Edgemont Road Katonah New York 10536	

NAME OF SIXTH INVENTOR: SHAREE McNAB or's signature:		Citizenship NEW ZEALAND Date:
a	R: JOHN C. BEAN	Citizenship <u>USA</u>
or's signature:	Vsem.	Date: 8/15/05
ence & Post Office Address:	480 Lego Drive Charolottesville, Virginia 22911	
NAME OF EIGHTH INVENTOR:	THOMAS VANDERVELDE	Citizenship <u>USA</u>
or's signature:		Date:
ence & Post Office Address:	207 Sunset Avenue Charolottesville, Virginia 22903	

Atty Dkt. YOR920030623US1 (8728-671)

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entitled: TITLE: METHOD OF FORMING QUANTUM DOTS AT PREDETERMINED POSITIONS OF A SUBSTRATE the specification of which either is attached hereto or indicates an attorney docket no. YOR920030623US1 (8728-671), or: O was filed in the U.S. Patent & Trademark Office on February 13, 2004 and assigned Serial No. 10/779,457, ☐ and (if applicable) was amended on _____ I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to patentability and to the examination of this application in accordance with Title 37 of the Code of Federal Regulations §1.56. I hereby claim foreign priority benefits under Title 35, U.S. Code §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT international application which designated at least one country other than the United States, listed below and have also identified below any foreign applications for patent or inventor's certificate having a filing date before that of the application on which priority is claimed: **Priority Claimed:** (Application Number) (Country) (Day/Month/Year filed)

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(Application Serial Number)

(Application Number)

(Filing Date)

(STATUS: patented, pending, abandoned)

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FULL NAME OF FIRST OR SOLE INVE	NTOR: MARTIN KAMMLER	Citizenship <u>GERMANY</u>
Inventor's signature:		Date:
Residence & Post Office Address:	Goerlitzer Str. 47 01099 Dresden Germany	
FULL NAME OF SECOND INVENTOR: <u>FRANCES M. ROSS</u> Inventor's signature:		
Residence & Post Office Address:		
FULL NAME OF THIRD INVENTOR: MARK REUTER Inventor's signature:		•
Residence & Post Office Address:	1 O'Connor Court Montrose New York	
FULL NAME OF FOURTH INVENTOR: <u>ROBERT HULL</u>		_Citizenship <u>UNITED KINGDOM</u>
Inventor's signature:		Date:
Residence & Post Office Address:	2732 Graigs Store Road Afton, Virginia 22920	
FULL NAME OF FIFTH INVENTOR: Y		-
Inventor's signature:		Date:
Residence & Post Office Address:	89 Edgemont Road	

Katonah New York 10536

FULL NAME OF SIXTH INVENTOR: SHAREE McNAB Inventor's signature:		Citizenship <u>NEW ZEALAND</u>	
		Date:	
Residence & Post Office Address:	12 Huntsbury Avenue Huntsbury Christchurch, New Zealand		
FULL NAME OF SEVENTH INVENTO	R: JOHN C. BEAN	Citizenship <u>USA</u>	
Inventor's signature:		Date:	
Residence & Post Office Address:	480 Lego Drive Charlottesville, Virginia 22911		
FULL NAME OF EIGHTH INVENTOR		Citizenship <u>USA</u>	
Inventor's signature: On an	e VMW	Date: 7/11/05	
Residence & Post Office Address:	207 Sunset Avenue		



LETTER OF AUTHORITY

l, Gerald Rosenthal, Vice President, Intellectual Property and Licensing of International Business Machines Corporation (IBM), a New York corporation, do hereby delegate the authority to approve and execute documents on behalf of IBM relating to proceedings in the Patent, Trademark Registration or Copyright Offices servicing any country or region of the world, or to related appeal proceedings, including, but not limited to: petitions; powers of Attorney, authorizations; verification; nominations of representatives; declarations; documents relating to maintenance and defense of the resulting industrial property rights; assignments of rights to apply for and acquire patents and trademark registrations; and evidence of such assignments; requests for the registration of patents as available for licensing; reports of inventions and petitions for waiver of patent rights to any department or agency of the United States Government; and, assignments, licenses and other instruments confirmatory of Government rights in patents and inventions, to

Date:

Gerald Rosenthal

Vice President, Intellectual Property & Licensing International Business Machines Corporation